Candidate Profile Form—Regional Committee Election (*this page will be displayed on finra.org*)

Candidate Name: **Jack Donnelly**

Title: Lead Principal Central Supervision

Firm: Key Investment Services, LLC

Candidate Biography and Personal Statement (*3,000 character limit - approximately 500 words*)

I have over a decade of experience in the securities industry, with the past four years at Key Investment Services leading centralized supervision for the East and Central regions. Early in my career I was able to identify my passion for compliance and supervision. Since then, I've built deep expertise across the supervisory structures of both retail broker-dealers and RIAs. I have experience as an individual contributor as a principal and multiple roles managing principals and supervisory oversight.

In my current role, I oversee several hundred registered representatives and lead a team of senior and associate supervisory principals. I have played a key role in implementing firm-wide adaptations to evolving regulations, including Regulation Best Interest (Reg BI) and DOL PTE 2020-02. My approach combines technology, training, operational process enhancements, and analytics to ensure compliance and operational excellence.

I actively contribute to internal governance through participation in multiple committees, including our Investment Advisory Committee and Advanced Products Committee. These experiences have given me valuable insight into collaborative, committee-based approaches to regulatory oversight.

Regulatory supervision is not only a core responsibility of my role, but also a personal passion. I bring a strong understanding of the challenges faced by firms and registered representatives, and a proven ability to navigate complex regulatory environments to achieve successful outcomes. I am confident that my experience, leadership, and commitment to compliance would allow me to add significant value to the FINRA Regional Committee. I hope to be a part of the meaningful dialogue in the District 8 Committee to make a positive impact for our industry.

Optional Link:

LinkedIn Profile: