Candidate Profile Form—Regional Committee Election (*this page will be displayed on finra.org*)

Candidate Name: **Samantha Larew**

Title: Chief Compliance Officer

Firm: Manning & Napier Investor Services, Inc.

Candidate Biography and Personal Statement (*3,000 character limit - approximately 500 words*)

Samantha has over 19 years of experience in the financial services industry. Currently, she serves as the Chief Compliance Officer and Anti-Money Laundering Compliance Officer for Manning & Napier Investor Services, Inc., a registered fund distributor and retailer, and placement agent for private funds, based in Fairport, New York. Samantha also serves as CCO and AML Compliance Officer for the Manning & Napier Fund, Inc., a 40 Act mutual fund.

In her capacity as CCO for both a broker-dealer and a registered fund, Samantha oversees comprehensive regulatory compliance, including the development and maintenance of compliance programs, AML policies and procedures, and sales practice supervision. As co-Director of Compliance, she leads a team responsible for daily compliance and supervision functions. Samantha is particularly passionate about fostering a strong culture of compliance and building positive, lasting relationships with the business.

Samantha holds the FINRA Certified Regulatory and Compliance Professional (CRCP®) designation, having attended the FINRA Institute at Wharton CRCP program on a small firm scholarship. She earned her Bachelor of Science in Political Science from the State University of New York College at Brockport and holds the Series 6, 7, 24, 26, 63, and 66 licenses, as well as a NYS insurance license.

Appointed to the FINRA North Region Committee in 2021, Samantha finds her involvement in advocacy and relationship-building extremely rewarding. She is committed to the financial services industry and is dedicated to representing and advocating for the interests of small and limited-purpose firms.

Optional Link:

LinkedIn Profile: **www.linkedin.com/in/samantha-larew**