

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Junnette Alayo**

Title: **CCO**

Firm: **Galaxy Digital Partners LLC**

Candidate Biography and Personal Statement *(3,000 character limit - approximately 500 words)*

Junnette Alayo, J.D. is Chief Compliance Officer of Galaxy Digital Partners and also oversees money transmitter licensing and compliance for Galaxy's digital asset businesses. In her role, she leads the firm's regulatory strategy across both its registered broker-dealer and crypto operations, managing supervisory systems, registrations and licensing, risk management frameworks, and policy initiatives. She advises on the intersection of securities and digital asset regulation, ensuring Galaxy's innovative products and services are aligned with FINRA, SEC, and state regulatory expectations. Junnette is also an active thought leader, frequently speaking at leading industry conferences on tokenization, custody, AML/KYC, and digital asset market structure.

Previously, Junnette served as Vice President & Head of Legal & Compliance Strategies & Initiatives at Oasis Pro Markets, where she built and operationalized compliance programs for one of the first regulated alternative trading systems for digital securities. She also served as Associate Legal and Compliance Officer to include AMLCO at DASTA Financial (Echofi), where she successfully obtained FINRA approval for a pioneering copy-trading business model. Earlier in her career, she was a Principal Consultant at ACA Group, where she audited more than 50 broker-dealers and advised on registration, supervisory systems, and compliance program development. She was also on the Case Evaluation securities litigation team at Labaton Sucharow.

Junnette began her regulatory career at FINRA as a Membership Application Program (MAP) examiner and later as a Senior Compliance Examiner. In these roles, she reviewed new and continuing membership applications, conducted examinations of member firms, and evaluated regulatory adherence. This experience gave her a deep understanding of FINRA's processes, enforcement priorities, and expectations of member firms.

She holds a J.D. from Hofstra University School of Law and a B.A. from Hunter College. With a career spanning regulatory enforcement, litigation, compliance consulting, and in-house leadership at innovative financial institutions, Junnette brings a rare combination of perspectives on traditional and digital markets. She is committed to investor protection, market integrity, and practical, effective compliance solutions, making her well-positioned to contribute to FINRA's Regional Committee.

Optional Link:

LinkedIn Profile: https://www.linkedin.com/in/junnette-alayo-j-d-918573?utm_source=share&utm_campaign=