

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Dulce Galindo**

Title: Chief Compliance Officer

Firm: Bradesco Investments

Candidate Biography and Personal Statement *(500 word limit)*

Ms. Dulce Galindo is a Chief Compliance Officer with nearly two decades of experience in the financial services industry. Ms. Galindo is a FINRA-registered professional, holding Series 7, 24, and 66 and a graduate from the FINRA Institute Certified Regulatory and Compliance Professional (CRCP)[®] program. Ms. Galindo also holds a Finance bachelors degree and a Juris Doctor degree from the University of Puerto Rico that has equipped her with a comprehensive understanding of financial and legal frameworks which are essential for navigating the complexities of financial regulations. Her educational background, combined with her extensive practical experience has helped her develop a robust knowledge in regulatory compliance, risk management and corporate governance. Throughout her career, Ms. Galindo has been dedicated to fostering a culture of compliance and ethical conduct within her organization, empowering organizations to view compliance as a strategic advantage. Her commitment to continuous learning and development drives her to stay abreast of the ever-evolving regulatory landscape.

As a Chief Compliance Officer, I am committed to ensuring that organizations not only meet regulatory obligations but also uphold the highest standards of ethical conduct. Over the course of my career in the financial industry, I have come to view compliance as a cornerstone of trust, integrity, and sustainable success. Education and open communication are central to my approach. I strive to cultivate an environment where employees at all levels are empowered to understand compliance, recognizing its role in protecting both the organization and its stakeholders. I remain dedicated to continuous improvement ensuring that compliance frameworks evolve alongside industry innovation.

Optional Links:

Link to personal website, resume or CV: _____

Link to YouTube video: _____